

# Section 8.17

## Divide-and-Conquer Recurrence Relations

# A Review of Recurrence Relations for Divide-and-Conquer Algorithms

- Recall the recurrence relations that describe the number of operations used by some divide-and-conquer algorithms (Sections 8.13 and 8.14)
- Finding the minimum of a sequence:

$$T(1) = 2$$

$$T(n) = 2T(n/2) + 8$$

# A Review of Recurrence Relations for Divide-and-Conquer Algorithms

- Merge Sort:

$$T(1) = 2$$

$$T(n) = 2T(n/2) + \Theta(n)$$

- Note:  $T(n) = 2T(n/2) + \Theta(n)$  means  $T(n) = 2T(n/2) + f(n)$  for some function  $f(n)$  that is  $\Theta(n)$

# A Review of Recurrence Relations for Divide-and-Conquer Algorithms

- Binary Search:

$$T(1) = 3$$

$$T(n) = T(n/2) + 9$$

# Divide-and-Conquer Recurrence Relation

- Many recurrence relations counting the number of operations for divide-and-conquer algorithms are of the form:

$$T(1) = c$$

$$T(n) = aT(n/b) + \Theta(n^d)$$

where  $T(n) = aT(n/b) + \Theta(n^d)$  means  $T(n) = aT(n/b) + f(n)$  for some function  $f(n)$  that is  $\Theta(n^d)$

# The Master Theorem

Consider a recurrence relation and initial condition of the following form where  $a$ ,  $b$ ,  $c$ , and  $d$  are constants:

$$T(1) = c$$
$$T(n) = aT(n/b) + \Theta(n^d)$$

1. If  $a/b^d = 1$ , then  $T(n)$  is  $\Theta(n^d \log(n))$
2. If  $a/b^d < 1$ , then  $T(n)$  is  $\Theta(n^d)$
3. If  $a/b^d > 1$ , then  $T(n)$  is  $\Theta(n^{\log_b(a)})$

# Master Theorem Examples

Example 1: The number of operations used by divide-and-conquer algorithm for finding the minimum of a sequence of length  $n$  is:

$$T(1) = 2$$
$$T(n) = 2T(n/2) + 8$$

Note 8 is  $\Theta(n^0)$

$$a = 2, b = 2, d = 0$$

$$a/b^d = 2/(2^0) = 2 > 1$$

$T(n)$  is  $\Theta(n^{\log_2(2)})$

$T(n)$  is  $\Theta(n)$

# Master Theorem Examples

Example 2: The number of operations used by Merge sort on a sequence of length  $n$  is:

$$T(1) = 2$$
$$T(n) = 2T(n/2) + \Theta(n)$$

$$a = 2, b = 2, d = 1$$

$$a/b^d = 2/(2^1) = 1$$

$$T(n) \text{ is } \Theta(n \cdot \log(n))$$



# Master Theorem Examples

Example 3: The number of operations used by binary search on a sequence of length  $n$  is:

$$\begin{aligned}T(1) &= 3 \\T(n) &= T(n/2) + 9\end{aligned}$$

$$a = 1, b = 2, d = 0$$

$$a/b^d = 1/(2^0) = 1$$

$$T(n) \text{ is } \Theta(\log_2(n))$$

# Building a Recursion Tree

- Example: Consider an algorithm that uses the following number of operations for inputs of size  $n$ :

$$T(1) = 1$$

$$T(n) = 3T(n/2) + n^5$$

Build a tree that describes the calculation of  $T(n)$

# Building a Recursion Tree

$$T(1) = 1$$

$$T(n) = 3T(n/2) + n^5$$

$$T(n)$$

# Building a Recursion Tree

$$T(1) = 1$$

$$T(n) = 3T(n/2) + n^5$$

$$T(n/2) + T(n/2) + T(n/2) + n^5$$

# Building a Recursion Tree

$$T(1) = 1$$

$$T(n) = 3T(n/2) + n^5$$

$T(n/2)$

$T(n/2)$

$T(n/2)$

$n^5$

# Building a Recursion Tree

$$T(1) = 1$$

$$T(n) = 3T(n/2) + n^5$$

$$n^5$$

$$3T(n/4) + (n/2)^5$$

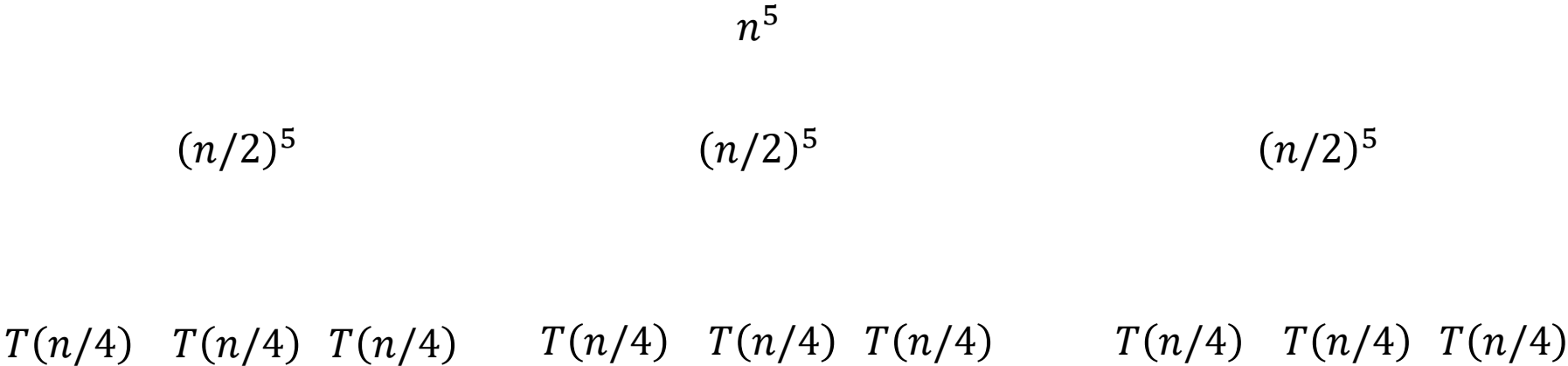
$$3T(n/4) + (n/2)^5$$

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# Building a Recursion Tree

$$T(1) = 1$$

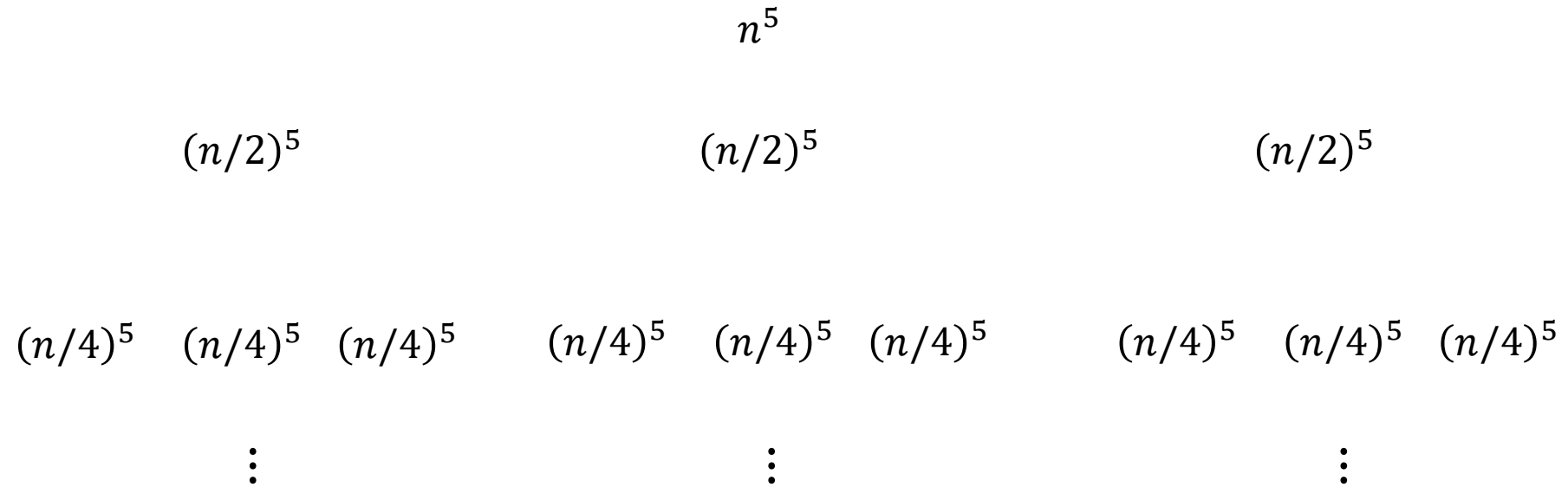
$$T(n) = 3T(n/2) + n^5$$



# Building a Recursion Tree

$$T(1) = 1$$

$$T(n) = 3T(n/2) + n^5$$

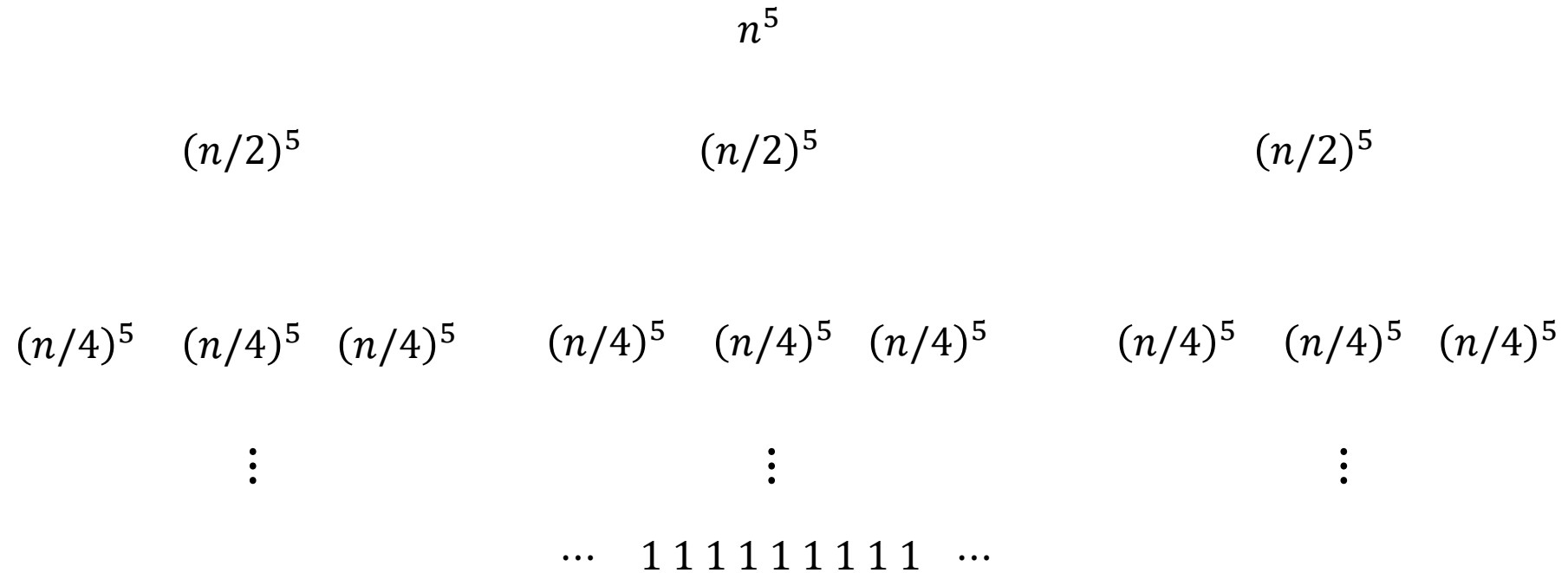




# Building a Recursion Tree

$$T(1) = 1$$

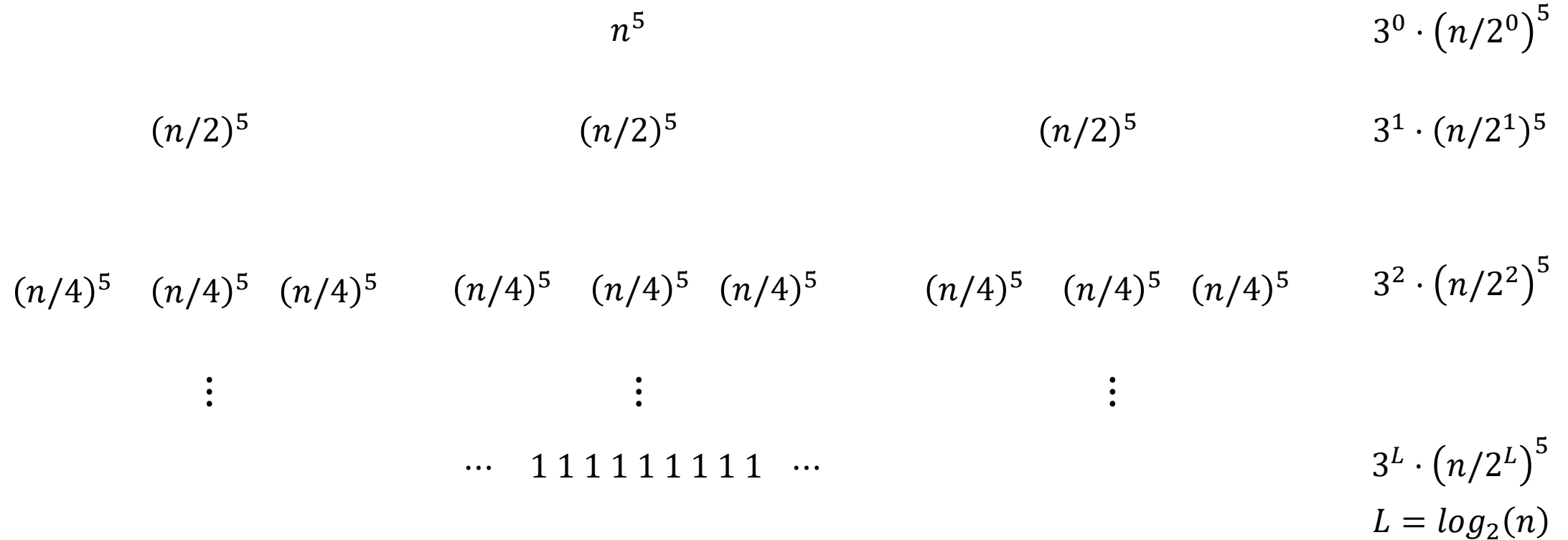
$$T(n) = 3T(n/2) + n^5$$



# Building a Recursion Tree

$$T(1) = 1$$

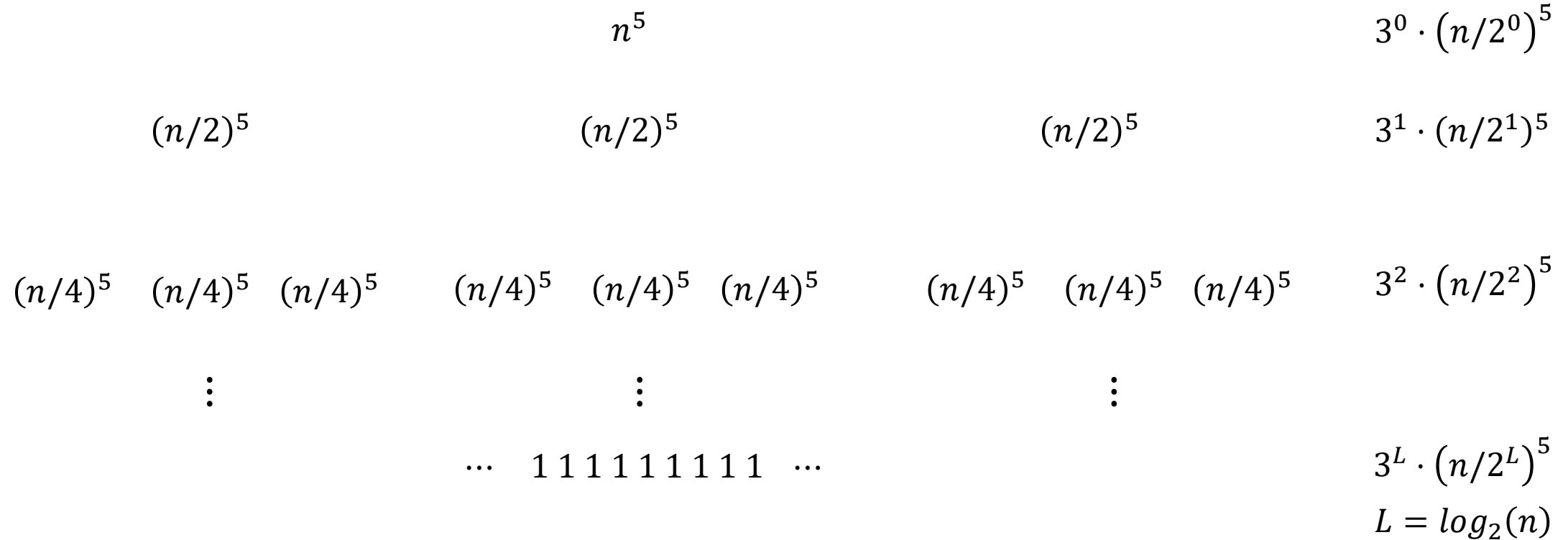
$$T(n) = 3T(n/2) + n^5$$



# Building a Recursion Tree

$$T(1) = 1$$

$$T(n) = 3T(n/2) + n^5$$



$$T(n) = \sum_{i=0}^{\log_2(n)} 3^i \cdot (n/2^i)^5$$

# Analyzing the Recursion Tree

$$T(1) = 1$$

$$T(n) = 3T(n/2) + n^5$$

$$T(n) = \sum_{i=0}^{\log_2(n)} 3^i \cdot (n/2^i)^5$$

# Analyzing the Recursion Tree

$$T(1) = 1$$

$$T(n) = 3T(n/2) + n^5$$

$$\begin{aligned} T(n) &= \sum_{i=0}^{\log_2(n)} 3^i \cdot (n/2^i)^5 \\ &= \sum_{i=0}^{\log_2(n)} 3^i \cdot n^5 / 2^{5i} \end{aligned}$$

# Analyzing the Recursion Tree

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$$T(n) = 3T(n/2) + n^5$$

$$\begin{aligned} T(n) &= \sum_{i=0}^{\log_2(n)} 3^i \cdot (n/2^i)^5 \\ &= \sum_{i=0}^{\log_2(n)} 3^i \cdot n^5 / 2^{5i} \\ &= n^5 \cdot \sum_{i=0}^{\log_2(n)} 3^i / 2^{5i} \end{aligned}$$

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# Analyzing the Recursion Tree

$$T(1) = 1$$

$$T(n) = 3T(n/2) + n^5$$

$$\begin{aligned} T(n) &= \sum_{i=0}^{\log_2(n)} 3^i \cdot (n/2^i)^5 \\ &= \sum_{i=0}^{\log_2(n)} 3^i \cdot n^5 / 2^{5i} \\ &= n^5 \cdot \sum_{i=0}^{\log_2(n)} 3^i / 2^{5i} \\ &= n^5 \cdot \sum_{i=0}^{\log_2(n)} (3/2^5)^i \end{aligned}$$

Generalize:

$$T(1) = 1$$

$$T(n) = aT(n/b) + n^d$$

$$T(n) = n^d \cdot \sum_{i=0}^{\log_b(n)} (a/b^d)^i$$



# Solving the Recursion Tree

$$T(1) = 1$$

$$T(n) = aT(n/b) + n^d$$

$$T(n) = n^d \cdot \sum_{i=0}^{\log_b(n)} (a/b^d)^i$$

Let  $r = a/b^d$  ( $r$  is a constant determined by the form of the algorithm) and  $m = \log_b(n)$ . There is a closed form solution for the sum of exponents:

Consider 3 cases:

1.  $r = 1$
2.  $r > 1$
3.  $r < 1$

# Solving the Recursion Tree

1.  $a/b^d = 1$

$$\begin{aligned} T(n) &= n^d \cdot \sum_{i=0}^{\log_b(n)} (a/b^d)^i \\ &= n^d \cdot \sum_{i=0}^{\log_b(n)} 1^i \\ &= n^d (\log_b(n) + 1) \end{aligned}$$

Hence  $T(n)$  is  $\Theta(n^d \log(n))$

# Solving the Recursion Tree

$$T(1) = 1$$

$$T(n) = aT(n/b) + n^d$$

$$T(n) = n^d \cdot \sum_{i=0}^{\log_b(n)} (a/b^d)^i$$

Let  $r = a/b^d$  ( $r$  is a constant determined by the form of the algorithm) and  $m = \log_b(n)$ . There is a closed form solution for the sum of exponents:

$$T(n) = n^d \cdot \sum_{i=0}^m r^i = \frac{r^{m+1} - 1}{r - 1}$$

When  $r \neq 1$

# Solving the Recursion Tree

2.  $a/b^d < 1$

Let  $r = a/b^d$  and  $m = \log_b(n)$

Use the closed form solution:  $\sum_{i=0}^m r^i = \frac{r^{m+1} - 1}{r - 1}$

# Solving the Recursion Tree

2.  $a/b^d < 1$

Let  $r = a/b^d$  and  $m = \log_b(n)$

Use the closed form solution:  $\sum_{i=0}^m r^i = \frac{r^{m+1}-1}{r-1}$

$$T(n) = n^d \cdot \sum_{i=0}^m r^i$$

## Solving the Recursion Tree

2.  $a/b^d < 1$

Let  $r = a/b^d$  and  $m = \log_b(n)$

Use the closed form solution:  $\sum_{i=0}^m r^i = \frac{r^{m+1}-1}{r-1}$

$$\begin{aligned} T(n) &= n^d \cdot \sum_{i=0}^m r^i \\ &= n^d \cdot \frac{r^{m+1} - 1}{r - 1} \cdot \frac{-1}{-1} \end{aligned}$$

# Solving the Recursion Tree

2.  $a/b^d < 1$

Let  $r = a/b^d$  and  $m = \log_b(n)$

Use the closed form solution:  $\sum_{i=0}^m r^i = \frac{r^{m+1}-1}{r-1}$

$$\begin{aligned} T(n) &= n^d \cdot \sum_{i=0}^m r^i \\ &= n^d \cdot \frac{r^{m+1} - 1}{r - 1} \cdot \frac{-1}{-1} \\ &= n^d \cdot \frac{1 - r^{m+1}}{1 - r} \end{aligned}$$

# Solving the Recursion Tree

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Let  $r = a/b^d$  and  $m = \log_b(n)$

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$\frac{1 - r^{m+1}}{1 - r}$
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# Solving the Recursion Tree

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$\frac{1 - r^{0+1}}{1 - r} \leq \frac{1 - r^{m+1}}{1 - r}$
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# Solving the Recursion Tree

2.  $a/b^d < 1$

Let  $r = a/b^d$  and  $m = \log_b(n)$

Use the closed form solution:  $\sum_{i=0}^m r^i = \frac{r^{m+1}-1}{r-1}$

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$$1 = \frac{1 - r^{0+1}}{1 - r} \leq \frac{1 - r^{m+1}}{1 - r}$$

# Solving the Recursion Tree

2.  $a/b^d < 1$

Let  $r = a/b^d$  and  $m = \log_b(n)$

Use the closed form solution:  $\sum_{i=0}^m r^i = \frac{r^{m+1}-1}{r-1}$

$$\begin{aligned} T(n) &= n^d \cdot \sum_{i=0}^m r^i \\ &= n^d \cdot \frac{r^{m+1} - 1}{r - 1} \cdot \frac{-1}{-1} \\ &= n^d \cdot \frac{1 - r^{m+1}}{1 - r} \end{aligned}$$

$$1 = \frac{1 - r^{0+1}}{1 - r} \leq \frac{1 - r^{m+1}}{1 - r} < \frac{1 - 0}{1 - r}$$

Note: since  $r < 1$ ,  $r^{m+1} \ll 1$

# Solving the Recursion Tree

2.  $a/b^d < 1$

Let  $r = a/b^d$  and  $m = \log_b(n)$

Use the closed form solution:  $\sum_{i=0}^m r^i = \frac{r^{m+1}-1}{r-1}$

$$\begin{aligned} T(n) &= n^d \cdot \sum_{i=0}^m r^i \\ &= n^d \cdot \frac{r^{m+1} - 1}{r - 1} \cdot \frac{-1}{-1} \\ &= n^d \cdot \frac{1 - r^{m+1}}{1 - r} \end{aligned}$$

$$1 = \frac{1 - r^{0+1}}{1 - r} \leq \frac{1 - r^{m+1}}{1 - r} < \frac{1 - 0}{1 - r} = \frac{1}{1 - a/b^d}$$

# Solving the Recursion Tree

2.  $a/b^d < 1$

Let  $r = a/b^d$  and  $m = \log_b(n)$

Use the closed form solution:  $\sum_{i=0}^m r^i = \frac{r^{m+1}-1}{r-1}$

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$$1 = \frac{1 - r^{0+1}}{1 - r} \leq \frac{1 - r^{m+1}}{1 - r} < \frac{1 - 0}{1 - r} = \frac{1}{1 - a/b^d}$$

Hence  $T(n)$  is  $\Theta(n^d)$

# Solving the Recursion Tree

3.  $a/b^d > 1$

Let  $r = a/b^d$  and  $m = \log_b(n)$

# Solving the Recursion Tree

3.  $a/b^d > 1$

Let  $r = a/b^d$  and  $m = \log_b(n)$

$$T(n) = n^d \cdot \sum_{i=0}^m r^i$$

# Solving the Recursion Tree

3.  $a/b^d > 1$

Let  $r = a/b^d$  and  $m = \log_b(n)$

$$\begin{aligned} T(n) &= n^d \cdot \sum_{i=0}^m r^i \\ &= n^d \cdot \frac{r^{m+1} - 1}{r - 1} \end{aligned}$$



# Solving the Recursion Tree

3.  $a/b^d > 1$

Let  $r = a/b^d$  and  $m = \log_b(n)$

$$\begin{aligned} T(n) &= n^d \cdot \sum_{i=0}^m r^i \\ &= n^d \cdot \frac{r^{m+1} - 1}{r - 1} \\ &= n^d \cdot \Theta(r^{m+1}) \end{aligned}$$

# Solving the Recursion Tree

3.  $a/b^d > 1$

Let  $r = a/b^d$  and  $m = \log_b(n)$

$$\begin{aligned} T(n) &= n^d \cdot \sum_{i=0}^m r^i \\ &= n^d \cdot \frac{r^{m+1} - 1}{r - 1} \\ &= n^d \cdot \Theta(r^{m+1}) \\ &= n^d \cdot \Theta(r^m) \end{aligned}$$

# Solving the Recursion Tree

3.  $a/b^d > 1$

Let  $r = a/b^d$  and  $m = \log_b(n)$

$$\begin{aligned} T(n) &= n^d \cdot \sum_{i=0}^m r^i \\ &= n^d \cdot \frac{r^{m+1} - 1}{r - 1} \\ &= n^d \cdot \Theta(r^{m+1}) \\ &= n^d \cdot \Theta(r^m) \end{aligned}$$

$$r^m \geq \frac{1}{r} \cdot r \cdot r^m \quad \text{when } m \geq 1$$

# Solving the Recursion Tree

3.  $a/b^d > 1$

Let  $r = a/b^d$  and  $m = \log_b(n)$

$$\begin{aligned} T(n) &= n^d \cdot \sum_{i=0}^m r^i \\ &= n^d \cdot \frac{r^{m+1} - 1}{r - 1} \\ &= n^d \cdot \Theta(r^{m+1}) \\ &= n^d \cdot \Theta(r^m) \end{aligned}$$

$$\begin{aligned} r^m &\geq \frac{1}{r} \cdot r \cdot r^m \quad \text{when } m \geq 1 \\ &\geq \frac{1}{r} \cdot r^{m+1} \quad \text{when } m \geq 1 \end{aligned}$$

# Solving the Recursion Tree

3.  $a/b^d > 1$

Let  $r = a/b^d$  and  $m = \log_b(n)$

$$\begin{aligned} T(n) &= n^d \cdot \sum_{i=0}^m r^i \\ &= n^d \cdot \frac{r^{m+1} - 1}{r - 1} \\ &= n^d \cdot \Theta(r^{m+1}) \\ &= n^d \cdot \Theta(r^m) \end{aligned}$$

$$\begin{aligned} r^m &\geq \frac{1}{r} \cdot r \cdot r^m \quad \text{when } m \geq 1 \\ &\geq \frac{1}{r} \cdot r^{m+1} \quad \text{when } m \geq 1 \\ r^m &\text{ is } \Omega(r^{m+1}) \quad \text{for witnesses } c = \frac{1}{r} \\ &\quad \text{and } m_0 = 1 \end{aligned}$$

# Solving the Recursion Tree

3.  $a/b^d > 1$

Let  $r = a/b^d$  and  $m = \log_b(n)$

$$\begin{aligned} T(n) &= n^d \cdot \sum_{i=0}^m r^i \\ &= n^d \cdot \frac{r^{m+1} - 1}{r - 1} \\ &= n^d \cdot \Theta(r^{m+1}) \\ &= n^d \cdot \Theta(r^m) \\ &= n^d \cdot \Theta\left((a/b^d)^{\log_b(n)}\right) \end{aligned}$$

$r^m$	$\geq \frac{1}{r} \cdot r \cdot r^m$	when $m \geq 1$
	$\geq \frac{1}{r} \cdot r^{m+1}$	when $m \geq 1$
$r^m$	is $\Omega(r^{m+1})$	for witnesses $c = \frac{1}{r}$ and $m_0 = 1$

# Solving the Recursion Tree

3.  $a/b^d > 1$

Let  $r = a/b^d$  and  $m = \log_b(n)$

$$\begin{aligned} T(n) &= n^d \cdot \sum_{i=0}^m r^i \\ &= n^d \cdot \frac{r^{m+1} - 1}{r - 1} \\ &= n^d \cdot \Theta(r^{m+1}) \\ &= n^d \cdot \Theta(r^m) \\ &= n^d \cdot \Theta\left((a/b^d)^{\log_b(n)}\right) \\ &= n^d \cdot \Theta\left(\frac{a^{\log_b(n)}}{b^{\log_b(n) \cdot d}}\right) \end{aligned}$$

$r^m$	$\geq \frac{1}{r} \cdot r \cdot r^m$	when $m \geq 1$
	$\geq \frac{1}{r} \cdot r^{m+1}$	when $m \geq 1$
$r^m$	is $\Omega(r^{m+1})$	for witnesses $c = \frac{1}{r}$ and $m_0 = 1$

# Solving the Recursion Tree

3.  $a/b^d > 1$

Let  $r = a/b^d$  and  $m = \log_b(n)$

$$T(n) = n^d \cdot \Theta\left(\frac{a^{\log_b(n)}}{b^{\log_b(n) \cdot d}}\right)$$



# Solving the Recursion Tree

3.  $a/b^d > 1$

Let  $r = a/b^d$  and  $m = \log_b(n)$

$$\begin{aligned} T(n) &= n^d \cdot \Theta\left(\frac{a^{\log_b(n)}}{b^{\log_b(n) \cdot d}}\right) \\ &= n^d \cdot \Theta\left(\frac{a^{\log_b(n)}}{(b^{\log_b(n)})^d}\right) \end{aligned}$$

# Solving the Recursion Tree

3.  $a/b^d > 1$

Let  $r = a/b^d$  and  $m = \log_b(n)$

$$\begin{aligned} T(n) &= n^d \cdot \Theta\left(\frac{a^{\log_b(n)}}{b^{\log_b(n) \cdot d}}\right) \\ &= n^d \cdot \Theta\left(\frac{a^{\log_b(n)}}{(b^{\log_b(n)})^d}\right) \\ &= n^d \cdot \Theta\left(\frac{a^{\log_b(n)}}{n^d}\right) \end{aligned}$$

# Solving the Recursion Tree

3.  $a/b^d > 1$

Let  $r = a/b^d$  and  $m = \log_b(n)$

$$\begin{aligned} T(n) &= n^d \cdot \Theta\left(\frac{a^{\log_b(n)}}{b^{\log_b(n) \cdot d}}\right) \\ &= n^d \cdot \Theta\left(\frac{a^{\log_b(n)}}{(b^{\log_b(n)})^d}\right) \\ &= n^d \cdot \Theta\left(\frac{a^{\log_b(n)}}{n^d}\right) \\ &= \Theta(a^{\log_b(n)}) \end{aligned}$$

# Solving the Recursion Tree

3.  $a/b^d > 1$

Let  $r = a/b^d$  and  $m = \log_b(n)$

$$\begin{aligned} T(n) &= n^d \cdot \Theta\left(\frac{a^{\log_b(n)}}{b^{\log_b(n) \cdot d}}\right) \\ &= n^d \cdot \Theta\left(\frac{a^{\log_b(n)}}{(b^{\log_b(n)})^d}\right) \\ &= n^d \cdot \Theta\left(\frac{a^{\log_b(n)}}{n^d}\right) \\ &= \Theta(a^{\log_b(n)}) \\ &= \Theta(n^{\log_b(a)}) \end{aligned}$$

# Solving the Recursion Tree

3.  $a/b^d > 1$

Let  $r = a/b^d$  and  $m = \log_b(n)$

$$\begin{aligned} T(n) &= n^d \cdot \Theta\left(\frac{a^{\log_b(n)}}{b^{\log_b(n) \cdot d}}\right) \\ &= n^d \cdot \Theta\left(\frac{a^{\log_b(n)}}{(b^{\log_b(n)})^d}\right) \\ &= n^d \cdot \Theta\left(\frac{a^{\log_b(n)}}{n^d}\right) \\ &= \Theta(a^{\log_b(n)}) \\ &= \Theta(n^{\log_b(a)}) \end{aligned}$$

$$\log(x^y) = y \cdot \log(x)$$

# Solving the Recursion Tree

3.  $a/b^d > 1$

Let  $r = a/b^d$  and  $m = \log_b(n)$

$$\begin{aligned} T(n) &= n^d \cdot \Theta\left(\frac{a^{\log_b(n)}}{b^{\log_b(n) \cdot d}}\right) \\ &= n^d \cdot \Theta\left(\frac{a^{\log_b(n)}}{(b^{\log_b(n)})^d}\right) \\ &= n^d \cdot \Theta\left(\frac{a^{\log_b(n)}}{n^d}\right) \\ &= \Theta(a^{\log_b(n)}) \\ &= \Theta(n^{\log_b(a)}) \end{aligned}$$

$$\log(x^y) = y \cdot \log(x)$$

$$\log_b(n) \cdot \log_b(a) = \log_b(a) \cdot \log_b(n)$$

# Solving the Recursion Tree

3.  $a/b^d > 1$

Let  $r = a/b^d$  and  $m = \log_b(n)$

$$\begin{aligned} T(n) &= n^d \cdot \Theta\left(\frac{a^{\log_b(n)}}{b^{\log_b(n) \cdot d}}\right) \\ &= n^d \cdot \Theta\left(\frac{a^{\log_b(n)}}{(b^{\log_b(n)})^d}\right) \\ &= n^d \cdot \Theta\left(\frac{a^{\log_b(n)}}{n^d}\right) \\ &= \Theta(a^{\log_b(n)}) \\ &= \Theta(n^{\log_b(a)}) \end{aligned}$$

$$\log(x^y) = y \cdot \log(x)$$

$$\log_b(n) \cdot \log_b(a) = \log_b(a) \cdot \log_b(n)$$

$$\log_b(a^{\log_b(n)}) = \log_b(n^{\log_b(a)})$$

# Solving the Recursion Tree

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$$\log_b(a^{\log_b(n)}) = \log_b(n^{\log_b(a)})$$

$$a^{\log_b(n)} = n^{\log_b(a)}$$



# The Master Theorem

Consider a recurrence relation and initial condition of the following form where  $a$ ,  $b$ , and  $d$  are constants:

$$T(1) \text{ is a constant}$$
$$T(n) = aT(n/b) + \Theta(n^d)$$

1. If  $a/b^d = 1$ , then  $T(n)$  is  $\Theta(n^d \log(n))$
2. If  $a/b^d < 1$ , then  $T(n)$  is  $\Theta(n^d)$
3. If  $a/b^d > 1$ , then  $T(n)$  is  $\Theta(n^{\log_b(a)})$